

Appendix for **Power**
to the EEX Group KYC
(Know-Your-Customer)
Questionnaire

09.11.2023
Leipzig
Ref. 009

- only required as scan -

Name of Applicant (Company's name):	Member-ID:*	E	X
	* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.		

1. Your trading profile

1.1 On which market segments your company is or wants to become active?

Spot Market		Derivatives Market
Auction	Continuous Intraday	
Austrian	Austrian	Austrian (Phelix AT)
Belgian	Belgian	Belgian
Czech	Danish	Bulgarian
Danish	Dutch	Czech
Dutch	Finnish	Dutch
Finnish	French	French
French	GB	German (Phelix DE)
French Capacity Market	German	German-Intraday-Cap/Floor Future
GB	Hungarian	GB
German	Ireland	Greek
Hungarian	Northern Ireland	Hungarian
Ireland	Norwegian	Italian
Northern Ireland	Polish	Japanese
Norwegian	Serbian	Nordic
Polish	Slovenian	Polish
Serbian	Swedish	Romanian
Slovenian	Swiss	Serbian
Swedish		Slovakian
Swiss		Slovenian
		Spanish
		Swiss

1.2 Please provide detailed explanation and justification why your company is or wants to be a member of our markets?

1.3 What is/will be your activity?

Occasional	Seasonal	Permanent

1.4 A) What do you expect your power trading volumes be?

	Day-Ahead Auction		Intraday Continuous		Intraday Auction		Derivatives	
	Exchange A	Exchange B	Exchange A	Exchange B	Exchange A	Exchange B	Exchange A	Exchange B
Exchange name								
Annual volume in MWh								
Monthly volume in MWh								

B) What you expect your trading amount of Capacity Guarantee on EPEX SPOT to be in number of guarantees?

1 guarantee = 0.1 MW	Day-Ahead Auction
Annual amount of Guarantees	
Monthly amount of Guarantees	

1.5 Who are your company's 5 main counterparties on the OTC markets?

Name	Market		Since when
	Power	Capacity Guarantees	
	Power	Capacity Guarantees	
	Power	Capacity Guarantees	
	Power	Capacity Guarantees	
	Power	Capacity Guarantees	

If you are not active on the OTC power and capacity guarantees markets please tick:

No

1.6 Does your company have physical assets in power (e.g. installed generation capacities)?

Yes

No

If yes, please describe:

1.7 Does your company physically supply end customers?

on the wholesale segment?

Yes

No

on the retail segment?

Yes

No

1.8 Does your company have its own balance area agreements?

Yes

No

Please provide the following details about these balance area agreements.

POWER	Balance agreements(s)			Contract since
	Name of the TSO	Own agreement	Third-Party agreement (name of the owner)	

2. Your capability to intervene

- 2.1 REMIT Implementing Acts entered into force in January 2015 and trigger market monitoring at European level by ACER. Market participants have to register with one National Regulatory Authority (NRA) prior to entering into transactions in the European Union which are required to be reported under the Implementing Acts. For detailed information of the process, please consult the website of the NRA in the country where your company is located or active if out of the European Union.

If relevant, did your company already start the registration process (pursuant to article 9.1. of REMIT Regulation n°1227/2011)?

Yes No

If relevant, did your company already get your ACER registration code (mentioned by article 10.2. of REMIT's Implementing Regulation n° 1348/2014)?

Yes, code No

Exception: Companies applying for trading only on EPEX SPOT Swiss market segment and / or companies applying for trading on the French Capacity Market Segment are exempted from the two points mentioned above. Companies applying for trading only on EPEX SPOT Swiss market segment shall provide EPEX SPOT a letter of capacity to buy and sell electricity (D06 form that is provided at a later stage in your admission process).

- 2.2 Who are the professional power traders working for your company?

Name, First name	Date of birth	Full time		Employed by another firm (which?)	Experience on trading systems (which?)
		Yes	No		
		Yes	No		
		Yes	No		
		Yes	No		
		Yes	No		
		Yes	No		
		Yes	No		

2.3 What are your internal facilities dedicated to power trading?

Power dedicated Facilities	Details
IT solutions / resources / systems / premises	
Backup solutions	
Dedicated Trading floor / size	
Other	

2.4 What system are you using for power nomination/scheduling towards the TSO(s)?

2.5 Do you have an account in RTE Guarantee Capacity Register?

Yes

No

Contact since

